

PATENT APPLICATION Mo-6580 LeA 34,821

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATIO	N OF	)
MARTIN ADAMCZEWSKI ET AL		) )
SERIAL NUMBER: 09/941,179		)
FILED:	AUGUST 27, 2001	)
TITLE:	ACETYLCHOLINE RECEPTOR SUBUNITS	))

### RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents Washington, D.C. 20231
Sir:

This paper is in response to a Notice to File Missing Parts of Nonprovisional Application issued in this case dated October 24, 2001. A two month period for response was set, bringing a response to be due on or before December 24, 2001. A three month petition for extension of time is being filed concurrently herewith, bringing a response to be due on or before Sunday, March 24, 2002 which is extended by operation 37 C.F.R. Section 1.7 to Monday, March 25, 2002.

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an
enveloped addressed to: Assistant Commissioner for
Patents, Washington, D.C. 20231, on 3.21-02
Date
Raymond J. Harmuth Reg. No. 33,896
Name of applicant, assignee or Registered Representative
Roy of I Hurch
Signature
Merch 21/2002
Date
Date

#### Missing Executed Declaration

The Notice to File Missing Parts stated that the oath or declaration was missing. In response, Applicants are filing herewith a Combined Declaration and Power of Attorney, executed by all of the inventors to complete the subject application.

Also enclosed is a copy of the Formalities Letter as required including the Raw Sequence Listing Error Report that was attached thereto.

Please charge the filing fee for filing the Declaration on a date later than the filing date, \$130.00 to Deposit Account Number 13-3848, as well as the three month fee for Extension of Time to respond to the Notice to File Missing Parts. This paper submitted in triplicate, is also authorization to charge any insufficiency of fees which may be required by this paper to Deposit account Number 13-3848.

#### **Correction of Sequence Listing**

The Notice to File Missing Parts also stated that although a copy of the Sequence Listing in computer readable form was submitted, the content of the computer readable form does not allegedly comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the marked-up Raw Sequence Listing attached to the Notice to File Missing Parts. The Notice to File Missing Parts stated that Applicants must provide a substitute computer readable form copy of the Sequence Listing and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and includes no new matter as required by 37 C.F.R. Section 1.821(e-g) and 1.825(b) and 1.825(d).

In response Applicants are filing herewith a substitute Sequence Listing for the nucleotide/amino acid sequence(s) in this application and 3.5 inch labeled diskette having thereon a substitute computer readable form copy of the substitute Sequence Listing. The attached diskette is labeled in accordance with 37 C.F.R. 1.824(a)(6) and is submitted in the American Standard Code for Information Interchange (ASCII) text.

Mo-6580

Herein below is the requested statement that the content of the sequence listing information recorded in computer readable form is identical to the written sequence listing as required by 37 C.F.R. Section 1.821(g). Because this submission is made in fulfilling the requirement under 37 C.F.R. 1.821(g), also included herein below is a statement that the submission includes no new matter.

# Statement that Sequence Listing and Computer Readable Copy Thereof Are the Same And That Papers Submitted Includes No New Matter

- I, Raymond J. Harmuth, Esq., Registration No. 33,896 hereby state:
- 1. That I am an attorney of record in this case and am so identified in the Combined Declaration and Power of Attorney being filed concurrently herewith;
- 2. That the substitute computer readable form being submitted concurrently herewith is identical to the written substitute Sequence Listing also being submitted concurrently herewith;
- 3. That the amendments made in the substitute sheets of the Sequence Listing are supported in the application, as filed, and that the substitute sheets of the Sequence Listing do not include new matter.

Having complied with all of the outstanding requirements, Applicants request that the file be forwarded for examination.

Respectfully submitted,

Ву

Raymond J. Harmuth Attorney for Applicants

Reg. No. 33,896

Bayer Corporation 100 Bayer Road Pittsburgh, Pennsylvania 15205-9741 (412) 777-8366 FACSIMILE PHONE NUMBER: (412) 777-8363

s:/sr/rjh0086



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## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON I

APPLICATION NUMBER

FILING RECEIPT DATE

FIRST NAMED APPLICANT

ALDORAL EDOKALLAY MB! Mo-6580 LeA 34.821

09 941,179

BAYER CORPORATION PATENT DEPARTMENT

100 BAYER ROAD PITTSBURGH, PA 15205

27 2001

Martin Adamezewski

**CONFIRMATION NO. 7071** 

FORMALITIES LETTER 

OC000000006966727

Date Mailed: 10/24/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63. identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823. as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

# A copy of this notice MUST be returned with the reply.

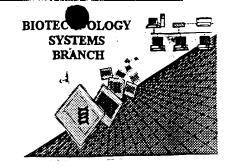
Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

0911

# RAW SEQUENCE LISTING ERROR REPORT

MAR 7 5 2007



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/94/,/99Source: 0/16Date Processed by STIC: 9/18/2001

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 c-mail help: <u>patin21help@uspto.gov</u> or phone 703-306-4119 (R. Wax) PATENTIN 3.0 c-mail help: <u>patin3help@uspto.gov</u> or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER VERSION 3.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

#### Checker Version 3.0

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address: http://www.uspto.gov/web/offices/pac/checker

OIPE



RAW SEQUENCE LISTING DATE: 09/18/2
PATENT APPLICATION US/09/941,179 TIME: 11:34:

Input Set : A:\sec --nce-text.txt

Output Set: N:\CRF 09182001\1941179.raw

3 <110> APPLICANT: Bayer Aktiend ...ischaft

5 <120> TITLE OF INVENTION: Ace: Spline resentor subunits

7 <130> FILE REFERENCE: Le A 34  $\odot$ 

10 <141> CURRENT FILING DATE: 2001 8-27

12 <150> PRIOR APPLICATION NUMBER:  $\odot$  100 42 177.6

13 <151> PRIOR FILING DATE: 2000- ..8

15 <160> NUMBER OF SEQ ID NOS: 17

17 <170> SOFTWARE: PatentIn Ver. .

ite no dec

#### ERRORED SEQUENCES

1056 <210> SEQ ID NO: 17

1057 <211> LENGTH: 75

1058 <212> TYPE: DNA

1059 <213> ORGANISM: Artificial Seamce

1061 <220> FEATURE:

1062 <223> OTHER INFORMATION: Des Prion of Artificial Sequence: Pri

1064 <400> SEQUENCE: 17

1065 ggtagggete gtegeageat grataa - hittegtrong aacoggeeggg acticaato

E--> 1066 tgacccactc cccgc

E--> 1068(1)

**●**/94/,/79 <u>2</u>

> The same of agrees there are referred to the encontrol of the control of the horizon facequences for similar errors.

VERIFICATION SUMMARY

PATENT A | ICATION: US/09/941,179

DATE: 09/18/2001 TIME: 11:35:11

Imput S: A:\sequence-text.txt

Cutput : N:\CRF3\09182001\1941179.raw

Current Applicat . Number differs, Replaced Application Number T: Current Filing The differs, Replaced Current Filing Date

W: Mandatory Fear missing, <220> FEATURE:
W: Mandatory Fear missing, <220> FEATURE:
W: Mandatory Fear missing, <220> FEATURE:
E: No. of Bases flict LENGTH:Input:0 Counted:75 SEQ:17

ed in SeqN. 17